



Date: July 18, 2001
Docket No.: IR-2588(ET)

Rev 1733

#14/U, day
7/24/01

THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, DC 20231

Sir:

Transmitted herewith for filing in the patent application of

Inventor(s): Tokas, et al. Serial No.: 09/209,706
Examiner: G. Knable Group Art Unit: 1733
For: "Contact Metathesis Polymerization" Filed: 12/18/98

Enclosed are:

- ☒ Information Disclosure Statement Under 37 CFR §1.97 and §1.98
- ☒ Form PTO 1449 with copies of cited references
- ☒ Post Card for Acknowledgement of Receipt
- ☒ The Commissioner is hereby authorized to charge payment of fees associated with this communication or credit any overpayment to Deposit Account No. 12-2143. A duplicate copy of this sheet is enclosed.

Adjustment date: 10/05/2001 SDIRETA1
07/24/2001 VDAY11 00000005 122143 09209706
01 FC:126 180.00 CR

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CERTIFICATION OF MAILING UNDER 37 CFR 1.8(A)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service, on July 18, 2001, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231, with sufficient postage as first class mail (37 CFR 1.8(a)).

Alida M. Clark
Name of Person Mailing Paper

Alida M. Clark
Signature of Person Mailing Paper

July 18, 2001
Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Tokas, et al.	Group Art Unit:	1733
Assignee:	Lord Corporation	Examiner:	G. Knable
Serial No.:	09/209,706	Filed:	12/11/98
For:	"Contact Metathesis Polymerization"		

July 18, 2001

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.97 AND 1.98

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Sir:

In accordance with the duty of disclosure under 37 CFR §2.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO-1449 form and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action or Notice of Allowance (whichever is earlier), and therefore Applicant is filing concurrently herewith a Certification under 37 CFR §1.97(e). No fee under 37 CFR §1.17(p) is required.

Applicants hereby certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent

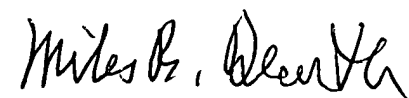


office in a counterpart foreign application not more than three months prior to the filing of this statement.

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding foreign Search Report citing such documents, together with an English-language version (if not already included) of that portion of the Search Report indicated the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

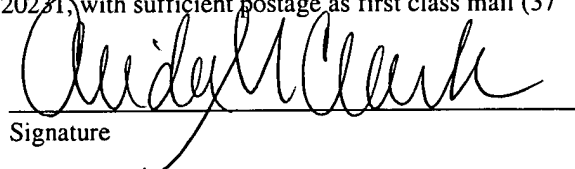

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CERTIFICATE OF MAILING

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Alida M. Clark
Person mailing paper


Signature

July 18, 2001
Date



Form PTO-1449				Atty. Docket No. IR-2588(ET)		Serial No. 09/209,706	
LIST OF RELATED ART CITED BY APPLICANT (Use several sheets if necessary)				Inventor Tokas, et al.			
				Filing Date 12/11/98		Group 1733	
U.S. PATENT DOCUMENTS							
*Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB	FILING DATE IF APPROPRIATE
	AA	5,603,985	2/97	Kent			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB	TRANSLATION YES NO
	AL	EP 0 889 107 A	1/99	EPO			
	AM	EP 0 381 611 A	8/90	EPO			
	AN	EP 0 063 092 A	10/82	EPO			
	AO						
	AP						
OTHER RELATED ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AR	Namyong, Y. Kim, E.A. "Surface-Initiated Ring-Opening Metathesis Polymerization on Si/SiO ₂ ," <u>Macromolecules</u> , vol. 33, no. 8, 18 April 2000, pgs. 2793-2795					
	AS						
	AT						
EXAMINER				DATE CONSIDERED			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.							

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